

## Review

# It Comes As a Shock

## Kidney Repair Using Shockwave Therapy

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**Abstract**—Chronic kidney disease is a global health care burden, yet clinically-proven treatments are limited. Low-intensity shockwave, which utilizes  $\approx 10\%$  of the energy levels used in clinically indicated shockwave lithotripsy, is a promising technique to ameliorate ischemia and regenerate tissues. It has been demonstrated to improve healing in tissues such as bone, muscle, myocardium, and kidney via several mechanisms, particularly through promoting neovascularization. Low-intensity shockwave stimulates mechanoreceptors located primarily in endothelial and proximal tubular cells and subsequently upregulates vascular endothelial growth factors. This, in turn, promotes angiogenesis and ameliorates renal hypoxia, inflammation, and fibrosis, and ultimately preserves renal function. Furthermore, low-intensity shockwave can stimulate release of homing factors to attract endothelial progenitor or stem cells into injured kidneys for tissue repair. These effects may be beneficial in several kidney disease models, including renal artery stenosis, diabetic kidney disease, and various chronic kidney diseases, although most studies reported to date have been performed in animal models. Because of its low energy intensity, the procedure is relatively tolerable and safe, yet, more clinical studies are needed to establish its efficacy beyond currently existing strategies. Therefore, low-intensity shockwave therapy emerges as an alternative therapeutic approach that may offer a promising noninvasive intervention for treating renal diseases.

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**Key Words:** extracorporeal shockwave therapy ■ myocardial ischemia ■ renal insufficiency, chronic ■ renal insufficiency ■ ultrasonography, interventional

Kidney disease is an important health care problem that imposes a significant burden globally, with projected prevalence continuing to rise over the next decade.<sup>1</sup> Chronic kidney disease (CKD) shares several risk factors with other cardiovascular diseases and also constitutes an independent risk factor for cardiovascular and noncardiovascular mortality.<sup>2</sup> Strategies to address modifiable risk factors for CKD include optimizing blood pressure and blood glucose control, lipid management, and weight loss. Despite multiple clinical trials, pharmacological therapy to delay CKD remains elusive, with few therapies showing significant clinical benefit. Renin-angiotensin-aldosterone system (RAAS) blockade was the first pharmacological therapy introduced that delayed progression of CKD.<sup>3</sup> It took over 2 decades before a new medication was discovered and approved by the FDA, when sodium-glucose cotransporter-2 inhibitors were recently shown to delay progression of diabetic kidney disease (DKD).<sup>4</sup> However, medications often require prolonged administration and may pose side effects precluding their use in some patients. This gap mandates identification of alternative effective options for CKD patients.

Ultrasound shockwave therapy is a noninvasive modality traditionally used for lithotripsy.<sup>5</sup> Because the energy used in shockwave lithotripsy (SWL) must be sufficiently high to disrupt stones, it may in turn also provoke kidney injury. The

degree of SWL-induced injury depends on several factors, including the number, rate, and dose of SWL sessions.<sup>6</sup> To mitigate these potential adverse effects, low-intensity shockwave (LiSW) has been adopted. LiSW utilizes only 10% of the energy level in SWL and has been extensively studied in chronic conditions such as cardiac, musculoskeletal, and genitourinary tract.<sup>7–9</sup> These studies have largely shown that LiSW promotes tissue healing by enhancing angiogenesis, mitigating tissue hypoxia, reducing inflammation and fibrosis, and ultimately improving symptoms.<sup>7,10</sup> As many kidney diseases exhibit microvascular loss, ischemia, and inflammation, LiSW has been postulated to potentially improve or even revert these changes in the kidney and ultimately delay CKD progression.

This review aims to present and summarize current evidence about the potential of LiSW with a focus on renal conditions. For context, we describe the basic principles underlying LiSW, and its role in nonrenal conditions, in which it has been studied more extensively.

### What Is Shockwave?

Shockwave is an acoustic wave, which is defined by an abrupt spike (time between 10% and 90% total initial rise time at the wave front  $\leq 10$  nanosecond), high peak-pressure (100 MPa), and short life-cycle (10  $\mu$ s).<sup>11,12</sup> The instantaneous rise in pressure earned its name of Shock wave. It has a low

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tensile amplitude, broad frequency spectrum (16–20 MHz), and variable negative pressure at its tail.<sup>11</sup> Shockwave travels faster than sound (770 mph or 1250 kph in air)<sup>13</sup> and has a definite depth of penetration, exerting several effects along its path.<sup>14</sup> This is in contrast to standard ultrasound waves, which consist of periodic oscillations with limited bandwidth.<sup>14</sup>

Shockwave can be generated by 3 different chief modalities based on electrohydraulic, electromagnetic, or piezoelectric principles.<sup>15</sup> Electrohydraulic generators create shockwave by a spark plug, and shockwave subsequently propagates in a medium (water) and is eventually focused by a parabolic mirror. Electromagnetic generators, contrarily, generate pressure waves by movement of a magnetic coil, which is then focused by an acoustic lens forming shockwave. Last, piezoelectric generators activate piezoelectric crystals to produce a pressure wave, which is then autofocused to become a shockwave. The mechanisms underlying each modality and representative machines are shown in Table S1 in the [Data Supplement](#).<sup>12,15</sup> Each shockwave machine has a different maximal energy density ranging from 0.09 to 1.24 mJ/mm<sup>2</sup>, with frequencies between 1 and 8 Hz (pulse/s) and focal penetration depths between 0 and 80 mm.<sup>14</sup> All machines consist of 3 basic components, including a shockwave generator, localization system, and positioning system used for focusing on the region of interest.<sup>14</sup>

Medical application of shockwave began in the 1980s with SWL for nephrolithiasis.<sup>16</sup> Historically, it has been indicated for stones  $\leq 2$  cm that could not spontaneously pass by conservative management.<sup>17</sup> Several factors can affect the success rate of SWL, including stone location, burden, composition, density, and certain patient-related factors.<sup>18</sup> The amount of discharge energy used in SWL typically ranges between 12 and 24 kV<sup>19</sup> with frequency of 1 to 1.5 Hz (60–90 pulse/minute).<sup>20</sup> Although initially considered minimally invasive and safe, several animal and human studies suggested that high-energy shockwave could induce tissue injury in relation to its energy and frequency.<sup>6,19</sup> The characteristics of SWL-induced renal injury include focal hemorrhage, small vessel rupture, vascular wall necrosis, podocyte and mesangial cells disruption, ischemic changes in tubular epithelium, and inflammatory cell infiltration. These changes can lead to parenchymal hematoma, proliferative glomerulopathy, nephron loss, interstitial fibrosis, and ultimately CKD.<sup>6</sup> Thus, the use of SWL has been declining and replaced by other effective therapies that provide excellent stone-free rates, such as ureteroscopy, which has become the most common modality of definitive stone treatment in several geographic locations.<sup>21</sup>

Contrarily, LiSW utilizes only 10% of the energy used in SWL, has been shown to induce less tissue injury, and in fact promotes tissue repair in several conditions.<sup>7,9,22–24</sup> Given growing interest in this technique, the International Society for Medical Shockwave Treatment has issued a consensus statement on extracorporeal shockwave therapy in numerous conditions (Table S2). Notably, parenchymal kidney disease has not been included in the 2016 published guidelines,<sup>15</sup> yet emerging LiSW studies in various kidney diseases may change this in the future.

## Basic Principles of Tissue Repair by LiSW Therapy in Nonrenal Disorders

LiSW exerts its effect by 2 cardinal mechanisms, which ultimately improve tissue healing by promoting neovascularization and ameliorating inflammatory processes. First, the peak pressure itself renders mechanical stress to tissues and cellular components. Second, LiSW generates cavitation bubbles in the tissues, which later collapse and bestow local effects. These mechanical forces may be converted into cell signaling by upregulation of mechanotransducers, which in turn upregulate proangiogenic factors, including VEGF (vascular endothelial growth factor) and eNOS (endothelial nitric oxide synthase),<sup>25</sup> and transactivate hypoxia-inducible factor-1 $\alpha$ .<sup>26</sup> LiSW also promotes osteocyte proliferation and enhances bone healing.<sup>27</sup> In musculoskeletal disorders, LiSW thereby shows effectiveness in repair of fractures, arthritis, and tendinopathies.<sup>22,28,29</sup>

In cardiac conditions, LiSW has been initially shown to promote angiogenesis and normalize myocardial function in a porcine model<sup>7</sup> by upregulating mRNA expressions of VEGF and VEGF-receptor Flk-1, thereby improving capillary densities in the ischemic myocardium.<sup>7</sup> Interestingly, LiSW can stimulate heparin sulfate-glycans that act as mechanoreceptors<sup>30</sup> and release angiogenic or vasculogenic factors from a reservoir.<sup>30</sup> Moreover, LiSW can blunt oxidative stress, reduce inflammation, and facilitate bone marrow-derived stem cells flux into treated area.<sup>31–33</sup> LiSW has been subsequently applied clinically, primarily in patients with coronary artery disease and refractory chest pain that failed to resolve despite maximal medical therapy, and its effects were confirmed in placebo-controlled trials and multicenter settings.<sup>34,35</sup> However, the long-term effects of LiSW in cardiac conditions remain elusive because of short follow-up periods, and despite its potential benefit, LiSW is currently not an FDA-approved therapy in these patients.

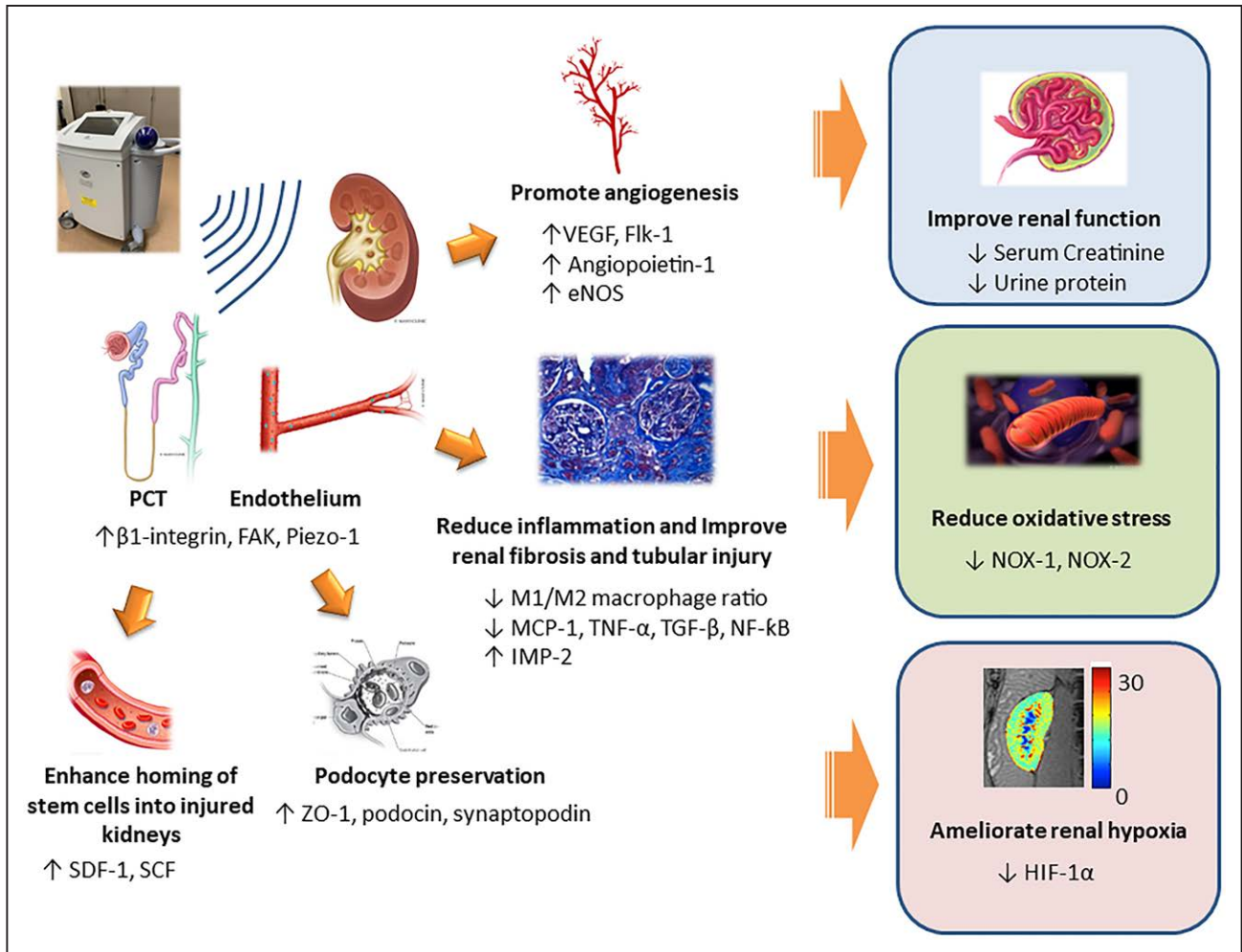
In genitourinary conditions, LiSW has been studied primarily in men with vasculogenic erectile dysfunction.<sup>14</sup> LiSW enhances neovascularization in penile and cavernosal vessels, promotes stem cell homing to the penile area,<sup>36,37</sup> restores  $\alpha$ -smooth muscle function, and decreases cavernosal lipid infiltration.<sup>38</sup> Meta-analysis of human randomized control trials suggests that LiSW thereby effectively improves erectile dysfunction symptoms.<sup>39</sup> Nonetheless, given that the median follow-up in these studies was only 20 weeks, benefits might possibly wane, requiring retreatment.

## Role of Shockwave Therapy in Renal Conditions

Being a highly vascular organ, improving the renal microvasculature and other mechanisms (Figure 1) could plausibly ameliorate kidney pathology and improve outcomes. Indeed, LiSW has been studied in several kidney diseases (Table), many of which have shown promising effects.

### Renovascular Disease

In the first study of LiSW in renal parenchymal disease, we applied LiSW in a porcine model with atherosclerotic renal artery stenotic (ARAS). RAS was induced after 6 weeks of a lipid-rich diet (Table), and LiSW (0.09 mJ/mm<sup>2</sup>) administered to the stenotic kidney 3 weeks after RAS induction, bi-weekly for 3 consecutive weeks (total of 6 sessions).<sup>40</sup> An ultrasound



**Figure 1.** Mechanisms of shockwave in repairing kidney injury. Low-intensity shockwave (LiSW) affects several kidneys cell types, particularly proximal tubules and endothelium, via mechanoreceptors such as  $\beta 1$ -integrin, FAK (focal adhesion kinase), and Piezo-1. Subsequently, angiogenic factors (VEGF [vascular endothelial growth factor], angiopoietin-1, and eNOS [endothelial nitric oxide synthase]) and receptors (eg, Flk-1) are upregulated, thus promoting renal angiogenesis. LiSW also suppresses inflammation (macrophages, MCP-1 [monocyte chemoattractant-1], TNF- $\alpha$  [tumor necrosis factor- $\alpha$ ], TGF- $\beta$  [transformation growth factor- $\beta$ ], and NF- $\kappa$ B [nuclear factor- $\kappa$ B]) and increases anti-inflammatory markers (IMP-2 [integral membrane protein-2]), thereby reducing inflammation, tubular injury and fibrosis. The numbers of podocytes are also preserved. Furthermore, LiSW enhances stem cell homing into kidneys by upregulating SDF-1 (stromal-derived factor-1) and stem-cell factor (SCF). Collectively, these effects translate into improvement in renal function, mitigating oxidative stress, and ameliorating renal hypoxia. Flk-1 indicates VEGF receptor; HIF-1 $\alpha$ , hypoxia-inducible factor-1 $\alpha$ ; M1, M1 macrophage; M2, M2 macrophage; NOX, nicotinamide adenine dinucleotide phosphate hydrogen oxidase; PCT, proximal convoluted tubule; VEGF, vascular endothelial growth factor; and ZO-1, zonula occluden-1.

probe was positioned parallel to the long axis of the stenotic kidney, perpendicular to the shockwave applicator positioned along the short axis. Then, 200 rapid shots were delivered to each treatment zone throughout the kidney (Figure 2). Four weeks after completion of this regimen, LiSW decreased blood pressure and RAAS activation. Glomerular filtration rate, renal hypoxia, and blood flow improved in treated ARAS pigs,<sup>40</sup> consistent with ameliorated cortical microvascular loss. These pro-angiogenic effects were supported by upregulation of VEGF and angiopoietin-1 in kidney tissue. Furthermore, LiSW upregulated expression of the mechanotransducers  $\beta 1$ -integrin and focal adhesion kinase, primarily in the proximal tubule. This implied that the proximal tubule might be particularly responsive to LiSW compared with other segments of the nephron. No adverse effects were observed in LiSW-treated normal kidneys. Thus, LiSW improved renal structure and function even without revascularization of the stenotic renal artery.<sup>40</sup>

The premise of LiSW benefit in RAS kidney was further explored in ARAS pigs undergoing percutaneous transluminal renal angioplasty following completion of a similar LiSW protocol.<sup>41</sup> Despite improved blood pressure in percutaneous transluminal renal angioplasty-treated ARAS pigs, glomerular filtration rate remained lower than normal, yet normalized in the group pretreated with LiSW.<sup>41</sup> Similarly, stenotic kidneys in ARAS pigs remained hypoxic after percutaneous transluminal renal angioplasty, whereas LiSW pretreatment permitted improvement in renal oxygenation and a decrease in levels of Inflammatory cytokines.<sup>41</sup> Hence, LiSW might precondition the kidney for revascularization.

An additional mechanism by which LiSW might mediate kidney repair involves facilitating homing of reparative endothelial progenitor cells (EPCs) into treated kidneys. In LiSW-treated ARAS pigs, EPC levels were elevated in both the systemic circulation and renal artery compared with untreated

Table. Studies of LiSW in Renal Conditions

| Species                        | Subjects  | Sample size | Interventions   | Durations | Effects of LiSW  |
|--------------------------------|---|-------------|---|-----------|--|
| <b>Renovascular disease</b>    |   |             |   |           |  |
| Pigs <sup>40</sup>             | RAS swine on atherogenic diet×6 wk  | 26          | LiSW biweekly   | 3 wk      | Improved stenotic kidney function, RBF, proteinuria  |
|                                |   |             | Omnispec Vetspec Model spark voltage, 10–24 kV; energy density, 0.09 mJ/mm <sup>2</sup> ; frequency, 2 Hz; (Medispec LTD, Germantown, MD) |           | Improved stenotic kidney microcirculation  |
|                                |   |             |   |           | Improved renal hypoxia and decrease HIF-1 $\alpha$ expression                                |
|                                |   |             |   |           | Increased angiogenic factors (VEGF, Ang-1) in PCT  |
|                                |   |             |   |           | Increased mechanotransducers $\beta$ 1-integrin in PCT                                       |
|                                |   |             |   |           | Alleviated Oxidative stress  |
|                                |   |             |   |           | Improved repair markers (SCF, SDF-1)   |
|                                | No detectable renal injury  |             |   |           |  |
| Pigs <sup>41</sup>             | RAS swine on atherogenic diet×6 wk, followed by revascularization               | 26          | LiSW biweekly   | 3 wk      | Stabilized renal function  |
|                                |   |             | Omnispec Vetspec Model (as above)   |           | Restored cortical oxygenation  |
|                                |   |             |   |           | Decreased renal inflammation ( $\downarrow$ M1/M2 ratio, TNF- $\alpha$ and MCP-1)            |
|                                |   |             |   |           | Improved renal microcirculation  |
|                                |   |             |   |           | Alleviated endothelial-to-mesenchymal transition, fibrosis, tubular injury                   |
| Pigs <sup>42</sup>             | RAS swine on atherogenic diet×6 wk  | 24          | LiSW biweekly   | 3 wk      | Improved renal perfusion, RBF, GFR   |
|                                |   |             | Omnispec Vetspec Model (as above)   |           | Promoted EPC homing  |
|                                |   |             |   |           | Systemic increased in homing factors (SDF-1)   |
|                                |   |             |   |           | Upregulated homing factors (SDF-1) and angiogenic factor (Ang-1) in kidney                   |
|                                |   |             |   |           | Increased peritubular capillaries  |
|                                |   |             |   |           | Upregulated proangiogenic factors (VEGF, eNOS)   |
| <b>Diabetic kidney disease</b> |   |             |   |           |  |
| Human <sup>43</sup>            | Human with diabetic kidney disease (eGFR 30–60 mL/min per 1.73 m <sup>2</sup> ) | 14          | LiSW biweekly   | 3 wk      | Stabilized renal functions over 6 mo   |
|                                |   |             | Modulith SLX-2; energy density increased from 0.136 to 0.265 mJ/mm <sup>2</sup> ; Storz Medical AG, Switzerland                           |           | May improve albuminuria  |
|                                |   |             | 4 Hz×3000 shockwaves/kidney   |           | 79% had mild-to-moderate low back pain   |
|                                |   |             |   |           | 21% had mild macroscopic hematuria   |
| Rats <sup>44</sup>             | Streptozocin-induced diabetic rats  | 30          | Low-energy shockwave weekly   | 6 wk      | Reduced albuminuria  |
|                                |   |             | EvoTron R05; energy density, 0.13 mJ/mm <sup>2</sup> ; frequency, 200 pulse/min (3.3 Hz); High Medical Technologies, Switzerland          |           | Improved histology (fibrosis, glomerular size, extracellular matrix deposition)              |
|                                |   |             |   |           | Promoted podocyte regeneration and reduce apoptosis  |
|                                |   |             |   |           | Ameliorated renal inflammation (decrease M1/M2 ratio, IL-6, IL-1 $\beta$ , oxidative stress) |
| <b>Acute kidney injury</b>     |   |             |   |           |  |
| Rats <sup>45</sup>             | Renal ischemia-reperfusion injury rats model                                    | 37          | LiSW on day 1, 2, 7, 8, 9, 14, 15, and 16 post-AKI  | 16 d      | Improved renal function  |
|                                |   |             | Duolith SD1; energy density, 0.1 mJ/mm <sup>2</sup> ; frequency, 200 pulse/min (3.3 Hz); Storz Medical AG, Switzerland                    |           | Ameliorated tubular injury, apoptosis, fibrosis  |
|                                |   |             |   |           | Improved lymphangiogenesis   |
|                                |   |             |   |           | Upregulated VEGF gene expression   |

(Continued)

Table. Continued

| Species                | Subjects                        | Sample size | Interventions                                | Durations | Effects of LiSW  |
|------------------------|---------------------------------|-------------|--|-----------|--|
| Chronic kidney disease |                                 |             |  |           |  |
| Rats <sup>46</sup>     | CKD rat model (5/6 nephrectomy) | 40          | LiSW on days 14, 21, and 28 post-CKD surgery | 2 wk      | Improved renal function and urinary proteins   |
|                        |                                 |             | Duolith SD1 (as above)                       |           | Increased EPC homing factors (SDF-1 $\alpha$ )   |
|                        |                                 |             |  |           | Enhanced angiogenesis and endothelial proliferation ( $\uparrow$ eNOS, CD31+ cells, VEGF, CXCR4)           |
|                        |                                 |             |  |           | Reduced oxidative stress (NOX-1, NOX-2) and inflammatory biomarkers (TNF- $\alpha$ , NF- $\kappa$ B, MMP2) |
|                        |                                 |             |  |           | Upregulated anti-inflammatory marker (IMP-2)   |
|                        |                                 |             |  |           | Lower tubular injury, fibrosis, apoptosis  |

AKI indicates acute kidney injury; Ang-1, angiopoietin-1; CKD, chronic kidney disease; CXCR4, C-X-C chemokine receptor type 4; eGFR, estimated glomerular filtration rate; eNOS, endothelial nitric oxide synthase; EPC, endothelial progenitor cell; HIF-1 $\alpha$ , hypoxia-inducible factor-1 $\alpha$ ; IL, interleukin; IMP-2, integral membrane protein-2; LiSW, low-intensity shockwave; M1, M1 macrophage; M2, M2 macrophage; MCP-1, monocyte chemoattractant-1; MMP-2, matrix metalloproteinase-2; NF- $\kappa$ B, nuclear factor- $\kappa$ B; NOX, nicotinamide adenine dinucleotide phosphate hydrogen oxidase; PCT, proximal convoluted tubule; RAS, renal artery stenosis; RBF, renal blood flow; SCF, stem-cell factor; SDF-1, stromal-derived factor-1; TNF- $\alpha$ , tumor necrosis factor- $\alpha$ ; and VEGF, vascular endothelial growth factor.

ARAS. Moreover, EPCs gradient across treated kidneys were increased, indicating higher retention rate, likely owing to upregulated SDF-1.<sup>42</sup>

Overall, in a porcine model, LiSW seems to improve poststenotic kidney function, oxygenation, microvasculature, inflammation, and fibrosis by stimulating mechanoreceptors in blood vessels and proximal tubules. Proangiogenic factors are subsequently upregulated, in turn eliciting angiogenesis and ameliorating renal hypoxia. Furthermore, shockwave can mobilize EPCs and endogenous stem cells into injured kidneys and enhance their reparative capacities. Notably, local delivery of LiSW avoids systemic side effects often observed with systemic interventions like medications. Nevertheless, further study is needed to assess whether LiSW provides additional benefits in subjects with renovascular disease already treated with RAAS blockades.

### Diabetic Kidney Disease

LiSW has also shown promise in animal and human models of DKD. For example, diabetic rats were treated with weekly Li-SW for 6 consecutive weeks (total of 6 sessions) at an energy level of 0.13 mJ/mm<sup>2</sup> with frequency 200 pulses/minute (Table). LiSW improved proteinuria, serum creatinine, and fibrosis, enhanced podocyte proliferation, and reduced proinflammatory markers (IL [interleukin]-6, IL-1 $\beta$ , and M1 macrophages). Again, LiSW was found to upregulate SDF-1 and VEGF.<sup>44</sup>

In human subjects, a small prospective study aiming to establish the safety of LiSW enrolled 14 patients with DKD (glomerular filtration rate, 30–60 mL/minute per 1.73 m<sup>2</sup>).<sup>43</sup> LiSW was applied using Modulith SLX-2 (Table) using 4 Hz (240 shocks/minute) and extended focal size. Each kidney segment (upper, middle, and lower) received 1000 shocks (total 3000 shocks/kidney). The energy level used in this study was slightly higher than previously,<sup>40</sup> initially at 0.136 mJ/m<sup>2</sup>, and gradually increasing to 0.265 mJ/m<sup>2</sup>.<sup>43</sup> The protocol included biweekly treatments for 3 consecutive weeks (6 sessions), and

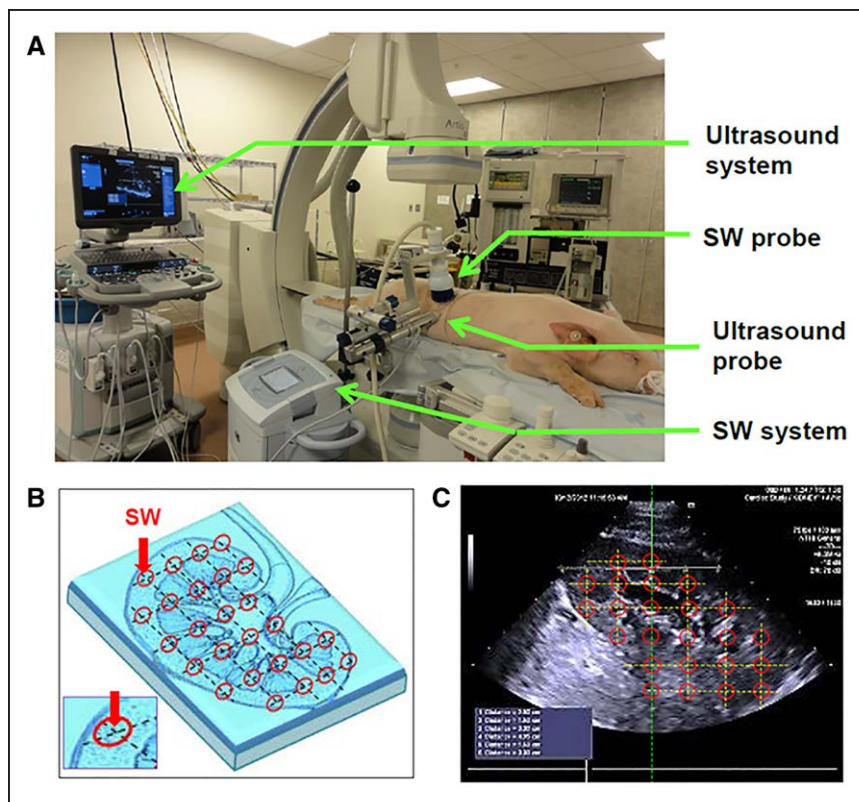
the patients followed at 1, 3, and 6 months. LiSW stabilized renal function compared with baseline and tended to reduce albuminuria at 1 and 6 months,<sup>43</sup> although these changes have not reached statistical significance. Nonetheless, the safety profile was reassuring, as only 3 patients experienced transient mild macroscopic hematuria. Eleven patients reported mild-to-moderate lower-back tenderness, but this was self-limiting and not associated with other adverse events.<sup>43</sup> Another clinical trial currently recruiting patients with moderate DKD is anticipated to be completed by January 2022 (Table S3).

Another exciting application of LiSW in DKD involves tackling the underlying diabetes to potentially ameliorate DKD. In rats with streptozotocin-induced diabetes, LiSW improved glycemic control and polyuria.<sup>47</sup> LiSW (Evotron) was delivered to the pancreas at 200 shocks once a week for 10 weeks, at energy density of 0.13 mJ/mm<sup>2</sup> with 200 pulses/minute (Table).<sup>47</sup> LiSW-treated rats had better blood glucose control, possibly because of enhanced pancreatic islets cells and insulin production, which translated into less symptomatic polyuria. LiSW increased  $\beta$ -cells regeneration and decreased inflammatory cytokines including IL-6, TNF- $\alpha$  (tumor necrosis factor- $\alpha$ ), and IL-1 $\beta$ . Similar to other organs, LiSW also enhanced angiogenesis by upregulating VEGF and SDF-1.<sup>47</sup>

Hence, LiSW seems to be safe in human subjects with DKD and may potentially stabilize renal function in DKD. Moreover, targeting glycemic control by delivering LiSW to the pancreas improves diabetic control and potentially ultimately renal outcomes. However, additional studies with larger sample sizes are needed to establish the efficacy of this approach in patients with diabetes.

### Acute Kidney Injury

Ischemia-reperfusion (I/R) is an important etiology of acute kidney injury. LiSW was delivered in I/R mice<sup>45</sup> thrice weekly for 3 weeks after I/R (Table), 200 shocks at 0.1 mJ/mm<sup>2</sup>.<sup>45</sup> LiSW rapidly improved plasma creatinine and decreased



**Figure 2.** Low-intensity shockwave (LiSW) application. Schematic demonstrating LiSW administration in pigs (adapted with permission from Zhang et al<sup>40</sup>). **A**, Experimental setting. Green arrows indicate elements in the ultrasound probes, LiSW applicator, and the systems. **B**, Diagram indicating specific zones of LiSW delivery in the kidney. **C**, An ultrasound image illustrating LiSW treatment-zones along the short axis of the kidney.

tubular injury at 2 days, yet this effect vanished at 20 days. LiSW tended to improve renal fibrosis without reaching statistical significance, probably because the study duration was too short, or perhaps LiSW was initiated too soon after I/R. Interestingly, LiSW preserved lymphatic vessels, which may contribute to the preservation of kidney function after I/R. Shockwave also upregulated mRNA expression of VEGF in the contralateral but not in I/R kidneys.<sup>45</sup> Evidently, the underlying etiology of kidney disease determines the response of kidneys to LiSW. While additional studies would be helpful, the early stages of acute kidney injury may not constitute an ideal application for LiSW.

### Chronic Kidney Disease

Besides renovascular disease and DKD, a single animal study in CKD applied LiSW to a 5/6 nephrectomy mouse model.<sup>46</sup> This study also assessed the effect on kidney function of a combination of LiSW with EPCs and sitagliptin, a DPP-4 (dipeptidyl peptidase-4) inhibitor that inhibits SDF-1 degradation and may enhance homing of stem cells into injured kidneys.<sup>48</sup> LiSW (Storz Duolith) was delivered at 0.12 mJ/mm<sup>2</sup> × 180 shocks at days 14, 21, and 28 after CKD (total 3 sessions),<sup>46</sup> and kidneys studied at day 60. LiSW improved serum creatinine and urinary protein levels compared with untreated mice, but most effectively in the shockwave+EPCs+Sitagliptin group. LiSW upregulated SDF-1, systemically increased circulating levels of EPCs,<sup>46</sup> and diminished fibrosis and inflammation. Podocyte markers were improved by LiSW compared with the EPCs alone, indicating superior podocyte protective effects. Oxidative stress and inflammatory markers were significantly improved in the LiSW group, yet EPC cotreatment was slightly superior to LiSW alone. Moreover, angiogenesis

markers (eNOS and CD31) and proangiogenic cytokines were enhanced in all LiSW groups.<sup>46</sup>

Although studies in CKD remain limited, the results of animal studies seem reassuring. LiSW alone or in combination with cell-based therapy seems to stabilize kidney function, and in fact can promote several reparative mechanisms primarily bestowing proangiogenic, anti-inflammatory, and antiapoptosis benefits. Possibly, adjunctive LiSW might be beneficial when applied in conjunction with additional novel or standard interventions (eg, RAAS blockade).

### Kidney Transplant

Although there is currently no report describing LiSW in kidney transplants, the premise of using LiSW in renal allograft is intriguing, especially given the relatively superficial location and ready accessibility of the allograft. Studies are needed to determine whether LiSW may improve allograft outcomes in addition to standard immunosuppression in kidney transplants.

### Safety Profile of LiSW in Parenchymal Kidney Disease

Historically, because of its high energy, SWL has been linked to renal damage. Various consequent injuries, including intrarenal hemorrhage, ruptured vessels, vascular wall necrosis, and inflammatory cells infiltration, can culminate in chronic changes like interstitial fibrosis and glomerular sclerosis.<sup>6</sup> SWL is contraindicated in pregnancy and uncorrected coagulative disorders.<sup>49</sup> Bleeding, particularly renal subcapsular hematoma, albeit rare, is a complication of lithotripsy that could adversely affect kidney function, especially in patients with hypertension and obesity.<sup>50</sup> Microscopic and macroscopic

hematuria are common, secondary to parenchymal or vascular injury.<sup>51</sup> Interestingly, the corticomedullary junction seems to be the most susceptible area. However, these alterations are often focal and transient.<sup>52</sup>

Since the energy in LiSW therapy is 1/10th of that used in SWL, far fewer complications and better tolerability are expected. Renal function and urinary protein levels seem to be stable immediately and 4 weeks after LiSW in ARAS pigs, without changes in either blood or urine neutrophil gelatinase-associated lipocalin.<sup>40</sup> Microscopy revealed no parenchymal hemorrhage or tubular injury immediately after LiSW, with no hematuria observed.<sup>40</sup> Contrarily, microscopic hematuria was observed in 21% of DKD patients<sup>43</sup> but might have been secondary to the relatively high energy level used in that study, and the rate of hematuria remained lower than post-SWL. Furthermore, pretreatment with LiSW can actually prevent renal injury in pigs that receive SWL.<sup>53</sup> This suggests that kidney injury from LiSW is minimal and may be reverted by its proangiogenic and anti-inflammatory effects.

Other side effects of LiSW are relatively minor. Many patients generally report a tingling or stinging sensation on the skin during treatment. Pain can occur but is usually mild, transient, and self-limited.<sup>43</sup> Subsequent sessions do not aggravate pain and there was no treatment withdrawal because of this complication.<sup>43</sup> Interestingly, pain may be related to the degree of parenchymal calcification,<sup>43</sup> requiring caution in such patients. No perinephric or subcapsular hematoma has been reported to date in either animal or human studies.<sup>40,43</sup> Although LiSW promotes tissue neovascularization, to date, development of malignancy secondary to LiSW has not been reported. Nevertheless, application of LiSW should probably be avoided in patients with known malignancy because of the theoretical risk of enhancing tumor growth.

### Conclusions and Future Direction

Since instigating the use of extracorporeal LiSW therapy nearly 2 decades ago, its utility has expanded into numerous medical conditions. Noninvasiveness and ease of application has made LiSW particularly appealing in treating patients at high risk for invasive procedures. Prior studies using LiSW in musculoskeletal disorders, myocardial ischemia, and erectile dysfunction showed improved outcomes. The chief mechanisms seem to involve upregulation of angiogenic factors, which in turn improve the microvasculature, reduce tissue hypoxia, inflammation and fibrosis, and result in effective tissue healing. Importantly, LiSW upregulates growth and homing factors to mobilize and attract progenitor and stem cells. Animal and human studies have demonstrated safety and often improved outcomes in kidney diseases, including renovascular disease, DKD, and CKD, whereas LiSW may be less effective in acute kidney injury. Side effects are usually minor and include macroscopic hematuria and pain, which are rare and self-limited. Heavy renal calcification may aggravate pain, and these patients should be closely monitored. Additional potentially limiting factors to be considered include machine availability and the need for well-trained technicians. Moreover, because the majority of studies reported in kidney diseases have been performed in animal models, clinical trials in human subjects and other kidney diseases are required to

provide a better understanding of LiSW and its clinical utility and efficacy. Importantly, evaluation of the benefits of LiSW on top of standard treatment (eg, RAAS blockade) is direly needed. Yet, LiSW seems to be a promising novel approach in several kidney diseases, and warrants further exploration.

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